

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Stop ISSUE FEE  
Commissioner for Patents  
Alexandria, Virginia 22313-1450  
or Fax (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)  
 000959 7590 08/19/2003

LAHIVE & COCKFIELD  
 28 STATE STREET  
 BOSTON, MA 02109



Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission  
 I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

|                            |                    |
|----------------------------|--------------------|
| Jane E. Remillard, Esquire | (Depositor's name) |
| <i>Jane Remillard</i>      | 11/19/03           |
| November 19, 2003          | (Date)             |

|                 |             |                      |                     |                  |
|-----------------|-------------|----------------------|---------------------|------------------|
| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
| 10/055,720      | 01/22/2002  | Stewart Dean Allison | PRJ-012             | 6229             |

TITLE OF INVENTION: COMPOSITION AND METHOD FOR THE ENCAPSULATION OF WATER-SOLUBLE MOLECULES INTO NANOPARTICLES

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | YES          | \$650     | \$300           | \$950            | 11/19/2003 |

| EXAMINER         | ART UNIT | CLASS-SUBCLASS |
|------------------|----------|----------------|
| ACQUAH, SAMUEL A | 1711     | 424-491000     |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).  
 Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
 "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Jane E. Remillard, Esq.  
 2 Lahive & Cockfield LLP  
 3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

PR Pharmaceuticals, Inc.

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Fort Collins, Colorado

Please check the appropriate assignee category or categories (will not be printed on the patent);  individual  corporation or other private group entity  government

4a. The following fee(s) are enclosed:

Issue Fee

4b. Payment of Fee(s):

A check in the amount of the fee(s) is enclosed.

Publication Fee

Payment by credit card. Form PTO-2038 is attached.

Advance Order - # of Copies 10

The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number 12-0080 (enclose an extra copy of this form).

Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature)

(Date)

*Jane Remillard* November 19, 2003

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

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|            |          |          |        |          |
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| 11/25/2003 | HBIZUNE2 | 00000091 | 120080 | 10055720 |
| 01         | FC:1504  |          | 300.00 | DA       |
| 02         | FC:2501  |          | 665.00 | DA       |
| 03         | FC:8001  |          | 30.00  | DA       |

TRANSMIT THIS FORM WITH FEE(S)



11-21-03

PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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## TRANSMITTAL FORM

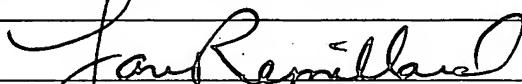
(to be used for all correspondence after initial filing)

|  |  |                        |                       |
|--|--|------------------------|-----------------------|
|  |  | Application Number     | 10/055720-Conf. #6229 |
|  |  | Filing Date            | January 22, 2002      |
|  |  | First Named Inventor   | Stewart Dean Allison  |
|  |  | Art Unit               | 1711                  |
|  |  | Examiner Name          | Samuel A. Acquah      |
| Total Number of Pages in This Submission |  | Attorney Docket Number | PRJ-012               |

### ENCLOSURES (Check all that apply)

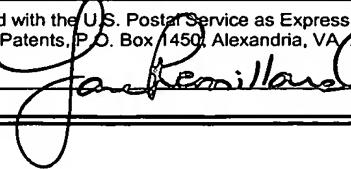
|   |  |   |
|---|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>PTOL-85<br>Return Receipt Postcard |
| Remarks   |  |   |

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |   |
|-------------------------|---|
| Firm or Individual name | LAHIVE & COCKFIELD, LLP<br>Jane E. Remillard, Esquire - 38,872                      |
| Signature               |  |
| Date                    | November 19, 2003   |

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Dated: November 19, 2003

Signature:  (Jane E. Remillard, Esquire)



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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003, Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 995.00)

## Complete if Known

|                      |                       |
|----------------------|-----------------------|
| Application Number   | 10/055720-Conf. #6229 |
| Filing Date          | January 22, 2002      |
| First Named Inventor | Stewart Dean Allison  |
| Examiner Name        | Samuel A. Acquah      |
| Art Unit             | 1711                  |
| Attorney Docket No.  | PRJ-012               |

## METHOD OF PAYMENT (check all that apply)

 Check  Credit Card  Money Order  Other  None

 Deposit Account:

 Deposit Account Number: **12-0080**

 Deposit Account Name: **Lahive & Cockfield, LLP**

The Director is authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayments

 Charge any additional fee(s) or any underpayment of fee(s)

 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

## Large Entity Small Entity

| Fee Code            | Fee (\$)   | Fee Code | Fee (\$) | Fee Description   | Fee Paid |
|---------------------|------------|----------|----------|---|----------|
| 1051                | 130        | 2051     | 65       | Surcharge – late filing fee or oath   |          |
| 1052                | 50         | 2052     | 25       | Surcharge – late provisional filing fee or cover sheet.                                       |          |
| 1053                | 130        | 1053     | 130      | Non-English specification   |          |
| 1812                | 2,520      | 1812     | 2,520    | For filing a request for ex parte reexamination   |          |
| 1804                | 920*       | 1804     | 920*     | Requesting publication of SIR prior to Examiner action  |          |
| 1805                | 1,840*     | 1805     | 1,840*   | Requesting publication of SIR after Examiner action   |          |
| 1251                | 110        | 2251     | 55       | Extension for reply within first month  |          |
| 1252                | 420        | 2252     | 210      | Extension for reply within second month   |          |
| 1253                | 950        | 2253     | 475      | Extension for reply within third month  |          |
| 1254                | 1,480      | 2254     | 740      | Extension for reply within fourth month   |          |
| 1255                | 2,010      | 2255     | 1,005    | Extension for reply within fifth month  |          |
| 1401                | 330        | 2401     | 165      | Notice of Appeal  |          |
| 1402                | 330        | 2402     | 165      | Filing a brief in support of an appeal  |          |
| 1403                | 290        | 2403     | 145      | Request for oral hearing  |          |
| 1451                | 1,510      | 1451     | 1,510    | Petition to institute a public use proceeding   |          |
| 1452                | 110        | 2452     | 55       | Petition to revive – unavoidable  |          |
| 1453                | 1,330      | 2453     | 665      | Petition to revive - unintentional  |          |
| 1501                | 1,330      | 2501     | 665      | Utility issue fee (or reissue)  | 665.00   |
| 1502                | 480        | 2502     | 240      | Design issue fee  |          |
| 1503                | 640        | 2503     | 320      | Plant issue fee   |          |
| 1460                | 130        | 1460     | 130      | Petitions to the Commissioner   |          |
| 1807                | 50         | 1807     | 50       | Processing fee under 37 CFR 1.17(q)   |          |
| 1806                | 180        | 1806     | 180      | Submission of Information Disclosure Stmt   |          |
| 8021                | 40         | 8021     | 40       | Recording each patent assignment per property (times number of properties)                    |          |
| 1809                | 770        | 2809     | 385      | Filing a submission after final rejection (37 CFR 1.129(a))                                   |          |
| 1810                | 770        | 2810     | 385      | For each additional invention to be examined (37 CFR 1.129(b))                                |          |
| 1801                | 770        | 2801     | 385      | Request for Continued Examination (RCE)   |          |
| 1802                | 900        | 1802     | 900      | Request for expedited examination of a design application                                     |          |
| Other fee (specify) | 8001: 1504 |          |          | Printed copy of patent w/o color; Publication fee for early, voluntary, or normal publication | 330.00   |

SUBTOTAL (1) (\$ 0.00)

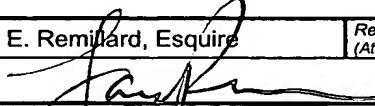
\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 995.00)

\*\*or number previously paid, if greater; For Reissues, see above

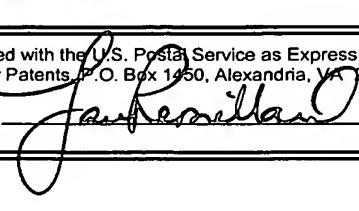
## SUBMITTED BY

(Complete if applicable)

Name (Print/Type) **Jane E. Remillard, Esquire**Registration No. **38,872**Telephone **(617) 227-7400**Signature Date **November 19, 2003**

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Dated: November 19, 2003

Signature: 

(Jane E. Remillard, Esquire)



Application No. (if known): 16/055720

Attorney Docket No.: PRJ-012

## Certificate of Express Mailing Under 37 CFR 1.10

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on November 19, 2003  
Date



Jane E. Remillard  
Signature

Jane E. Remillard, Esquire  
Typed or printed name of person signing Certificate

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Transmittal (1 page);  
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PTOI-85 (1 page in duplicate).